

## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

|   |  |  |            |
|---|--|--|------------|
| 1 | Name of Listed Entity: <b>Rico Auto Industries Limited</b>   |  |            |
| 2 | Scrip Code/Name of Scrip/Class of Security: <b>BSE/NSE/520008/RICOAUTO / EQUITY</b>  |  |            |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c): <b>31(1)(b)</b>   |  |            |
|   | a.   | If under 31(1)(b) then indicate the report for Quarter ending: <b>30/06/2024</b> |            |
|   | b.   | If under 31(1)(c) then indicate date of allotment/extinguishment                 |            |
| 4 | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-  |  |            |
|   | <b>Particulars</b>   | <b>Yes*</b>  | <b>No*</b> |
| 1 | Whether the Listed Entity has issued any partly paid up shares?  |  | No         |
| 2 | Whether the Listed Entity has issued any Convertible Securities ?  |  | No         |
| 3 | Whether the Listed Entity has issued any Warrants ?  |  | No         |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued?   |  | No         |
| 5 | Whether the Listed Entity has any shares in locked-in?   |  | No         |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered?   |  | No         |
| 7 | Whether company has equity shares with differential voting rights?   |  | No         |
| 8 | Whether the listed entity has any significant beneficial owner?  |  | Yes        |
|   | <p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p> |  |            |
| 5 | The tabular format for disclosure of holding of specified securities is as follows:  |  |            |

**RICO AUTO INDUSTRIES LIMITED**
**Table I - Summary Statement holding of specified securities**

| Category | Category of shareholder       | No. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total No. of shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities |             |           | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares |         | Number of Shares pledged or otherwise encumbered |         | Number of equity shares held in dematerialised form |                                 |
|----------|-------------------------------|---------------------|---|--|--|--------------------------|---|--|-------------|-----------|--|--|----------------------------|---------|--|---------|---|---------------------------------|
|          |                               |                     |   |  |  |                          |   | No of Voting Rights                                      |             |           |  |  | Total as a % of (A+B+C)    | No. (a) | As a % of total Shares held (b)                  | No. (a) |   | As a % of total Shares held (b) |
|          |                               |                     |   |  |  |                          |   | Class eg: X  | Class eg: y | Total     |  |  |                            |         |  |         |   |                                 |
| (I)      | (II)                          | (III)               | (IV)                                    | (V)                                      | (VI)   | (VII) = (IV+V+ VI)       | (VIII) As a % of (A+B+C2)   | (IX)   |             |           | (X)  | (XI)= (VII+X) As a % of (A+B+C2)   | (XII)                      | (XIII)  |  | (XIV)   |   |                                 |
| (A)      | Promoter & Promoter Group     | 12                  | 68096246                                | 0  | 0  | 68096246                 | 50.34   | 68096246   | 0           | 68096246  | 50.34  | 0  | 50.34                      | 0       | 0.00   | 0       | 0.00  | 68096246                        |
| (B)      | Public                        | 111132              | 67188754                                | 0  | 0  | 67188754                 | 49.66   | 67188754   | 0           | 67188754  | 49.66  | 0  | 49.66                      | 0       | 0.00   | 0       | 0.00  | 66945823                        |
| (C)      | Non Promoter - Non Public     | 0                   | 0                                       | 0  | 0  | 0                        | 0.00  | 0  | 0           | 0         | 0.00   | 0  | 0.00                       | 0       | 0.00   | 0       | 0.00  | 0                               |
| (C1)     | Shares Underlying DRs         | 0                   | 0                                       | 0  | 0  | 0                        | N.A   | 0  | 0           | 0         | 0.00   | 0  | 0.00                       | 0       | 0.00   | 0       | 0.00  | 0                               |
| (C2)     | Shares Held By Employee Trust | 0                   | 0                                       | 0  | 0  | 0                        | 0.00  | 0  | 0           | 0         | 0.00   | 0  | 0.00                       | 0       | 0.00   | 0       | 0.00  | 0                               |
|          | Total                         | 111144              | 135285000                               | 0  | 0  | 135285000                | 100.00  | 135285000  | 0           | 135285000 | 100.00   | 0  | 100.00                     | 0       | 0.00   | 0       | 0.00  | 135042069                       |

**RICO AUTO INDUSTRIES LIMITED**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

|          | Category & Name of the shareholders   | PAN   | No. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total No. of shares held  | Share holding % calculated as per SCRR, 1957 | Number of Voting Rights held in each class of securities |             |                                     | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares |                                 | Number of Shares pledged or otherwise encumbered |                                 | Number of equity shares held in dematerialised form |                 |
|----------|---|-------|---------------------|---|--|--|---------------------------|--|--|-------------|-------------------------------------|--|---|----------------------------|---------------------------------|--|---------------------------------|---|-----------------|
|          |   |       |                     |   |  |  |                           |  | No of Voting Rights                                      |             | Total as a % of Total Voting Rights |  |   | No. (a)                    | As a % of total Shares held (b) | No. (a)  | As a % of total Shares held (b) |   |                 |
|          |   |       |                     |   |  |  |                           |  | Class eg: X  | Class eg: y |                                     |  |   |                            |                                 |  |                                 |   | Total           |
| (I)      | (II)  | (III) | (IV)                | (V)                                     | (VI)                                     | (VII) = (IV+V+ VI)                           | As a % of (A+B+C2) (VIII) | (IX)   |  |             | (X)                                 | (XI)= (VII+X) As a % of (A+B+C2)   | (XII)   |                            | (XIII)                          |  | (XIV)                           |   |                 |
| <b>1</b> | <b>Indian</b>   |       |                     |   |  |  |                           |  |  |             |                                     |  |   |                            |                                 |  |                                 |   |                 |
| (a)      | Individuals / Hindu Undivided Family  |       | 8                   | 27807456                                | 0  | 0  | 27807456                  | 20.55472                                     | 27807456   | 0           | 27807456                            | 20.5547  | 0   | 20.55                      | 0                               | 0.00   | 0                               | 0.00  | 27807456        |
|          | ARVIND KAPUR  |       |                     | 14118043                                | 0  | 0  | 14118043                  | 10.44  | 14118043   | 0           | 14118043                            | 10.44  | 0   | 10.44                      | 0                               | 0.00   | 0                               | 0.00  | 14118043        |
|          | ARUN KAPUR  |       |                     | 8770849                                 | 0  | 0  | 8770849                   | 6.48   | 8770849  | 0           | 8770849                             | 6.48   | 0   | 6.48                       | 0                               | 0.00   | 0                               | 0.00  | 8770849         |
|          | UPASNA KAPUR  |       |                     | 3453384                                 | 0  | 0  | 3453384                   | 2.55   | 3453384  | 0           | 3453384                             | 2.55   | 0   | 2.55                       | 0                               | 0.00   | 0                               | 0.00  | 3453384         |
|          | RITU KAPUR  |       |                     | 733140                                  | 0  | 0  | 733140                    | 0.54   | 733140   | 0           | 733140                              | 0.54   | 0   | 0.54                       | 0                               | 0.00   | 0                               | 0.00  | 733140          |
|          | NYLA KAPUR  |       |                     | 383440                                  | 0  | 0  | 383440                    | 0.28   | 383440   | 0           | 383440                              | 0.28   | 0   | 0.28                       | 0                               | 0.00   | 0                               | 0.00  | 383440          |
|          | SAMARTH KAPUR   |       |                     | 194800                                  | 0  | 0  | 194800                    | 0.14   | 194800   | 0           | 194800                              | 0.14   | 0   | 0.14                       | 0                               | 0.00   | 0                               | 0.00  | 194800          |
|          | SHIVANI KAPUR   |       |                     | 149800                                  | 0  | 0  | 149800                    | 0.11   | 149800   | 0           | 149800                              | 0.11   | 0   | 0.11                       | 0                               | 0.00   | 0                               | 0.00  | 149800          |
|          | PROMILA SIKKA   |       |                     | 4000                                    | 0  | 0  | 4000                      | 0.00   | 4000   | 0           | 4000                                | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 4000            |
| (b)      | Central Government / State Government(s)                                    |       | 0                   | 0                                       | 0  | 0  | 0                         | 0.00   | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 0               |
| (c)      | Financial Institutions / Banks  |       | 0                   | 0                                       | 0  | 0  | 0                         | 0.00   | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 0               |
| (d)      | Any Other (Specify)   |       | 0                   | 0                                       | 0  | 0  | 0                         | 0.00   | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 0               |
|          | <b>Bodies Corporate</b>   |       | <b>3</b>            | <b>40209790</b>                         | <b>0</b>                                 | <b>0</b>                                     | <b>40209790</b>           | <b>29.72</b>                                 | <b>40209790</b>  | <b>0</b>    | <b>40209790</b>                     | <b>29.72</b>   | <b>0</b>  | <b>29.72</b>               | <b>0</b>                        | <b>0.00</b>                                      | <b>0</b>                        | <b>0.00</b>   | <b>40209790</b> |
|          | ASN MANUFACTURING AND SERVICES PRIVATE LIMITED                              |       |                     | 20838321                                | 0  | 0  | 20838321                  | 15.40  | 20838321   | 0           | 20838321                            | 15.40  | 0   | 15.40                      | 0                               | 0.00   | 0                               | 0.00  | 20838321        |
|          | MERAKI MANUFACTURING AND FINVEST ADVISORS PVT. LTD.                         |       |                     | 11790841                                | 0  | 0  | 11790841                  | 8.72   | 11790841   | 0           | 11790841                            | 8.72   | 0   | 8.72                       | 0                               | 0.00   | 0                               | 0.00  | 11790841        |
|          | HIGAIN INVESTMENT PVT LTD   |       |                     | 7580628                                 | 0  | 0  | 7580628                   | 5.60   | 7580628  | 0           | 7580628                             | 5.60   | 0   | 5.60                       | 0                               | 0.00   | 0                               | 0.00  | 7580628         |
|          | <b>Sub Total (A)(1)</b>   |       | <b>11</b>           | <b>68017246</b>                         | <b>0</b>                                 | <b>0</b>                                     | <b>68017246</b>           | <b>50.28</b>                                 | <b>68017246</b>  | <b>0</b>    | <b>68017246</b>                     | <b>50.28</b>   | <b>0</b>  | <b>50.28</b>               | <b>0</b>                        | <b>0.00</b>                                      | <b>0</b>                        | <b>0.00</b>   | <b>68017246</b> |
| <b>2</b> | <b>Foreign</b>  |       |                     |   |  |  |                           |  |  |             |                                     |  |   |                            |                                 |  |                                 |   |                 |
| (a)      | Individuals (Non-Resident Individuals / Foreign Individuals)                |       | 1                   | 79000                                   | 0  | 0  | 79000                     | 0.06   | 79000  | 0           | 79000                               | 0.06   | 0   | 0.06                       | 0                               | 0.00   | 0                               | 0.00  | 79000           |
|          | ROMILLA BAHL  |       |                     | 79000                                   | 0  | 0  | 79000                     | 0.06   | 79000  | 0           | 79000                               | 0.06   | 0   | 0.06                       | 0                               | 0.00   | 0                               | 0.00  | 79000           |
| (b)      | Government  |       | 0                   | 0                                       | 0  | 0  | 0                         | 0.00   | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 0               |
| (c)      | Institutions  |       | 0                   | 0                                       | 0  | 0  | 0                         | 0.00   | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 0               |
| (d)      | Foreign Portfolio Investor  |       | 0                   | 0                                       | 0  | 0  | 0                         | 0.00   | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 0               |
| (e)      | Any Other (Specify)   |       | 0                   | 0                                       | 0  | 0  | 0                         | 0.00   | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                               | 0.00   | 0                               | 0.00  | 0               |
|          | <b>Sub Total (A)(2)</b>   |       | <b>1</b>            | <b>79000</b>                            | <b>0</b>                                 | <b>0</b>                                     | <b>79000</b>              | <b>0.06</b>                                  | <b>79000</b>   | <b>0</b>    | <b>79000</b>                        | <b>0.06</b>  | <b>0</b>  | <b>0.06</b>                | <b>0</b>                        | <b>0.00</b>                                      | <b>0</b>                        | <b>0.00</b>   | <b>79000</b>    |
|          | <b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b> |       | <b>12</b>           | <b>68096246</b>                         | <b>0</b>                                 | <b>0</b>                                     | <b>68096246</b>           | <b>50.34</b>                                 | <b>68096246</b>  | <b>0</b>    | <b>68096246</b>                     | <b>50.34</b>   | <b>0</b>  | <b>50.34</b>               | <b>0</b>                        | <b>0.00</b>                                      | <b>0</b>                        | <b>0.00</b>   | <b>68096246</b> |

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**(Kindly start filing data from Row No - 3)**

| No. of Shareholders | No. of Shares held |
|---------------------|--------------------|
| 0                   | 0                  |
|                     |                    |
|                     |                    |
|                     |                    |
|                     |                    |





|  |                      |
|--|----------------------|
| Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting<br><b>(Kindly start filing data from Row No - 3)</b> |                      |
| <b>No. of Shareholders</b>   | <b>No. of Shares</b> |
| 0  | 0                    |

# RICO AUTO INDUSTRIES LIMITED

## Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

|   | Category & Name of the shareholders  | PAN  | No. of share holders | No. of fully paid-up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total No. of shares held | Share holding % calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities |             |                                     | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares |                             | Number of Shares pledged or otherwise encumbered |  | Number of equity shares held in dematerialised form |
|---|--|------|----------------------|---|--|--|--------------------------|---|--|-------------|-------------------------------------|--|---|----------------------------|-----------------------------|--|--|---|
|   |  |      |                      |   |  |  |                          |   | No of Voting Rights                                      |             | Total as a % of Total Voting Rights |  |   | No.                        | As a % of total Shares held | No. (Not applicable)                             | As a % of total Shares held (Not applicable) |   |
|   |  |      |                      |   |  |  |                          |   | Class eg: X  | Class eg: Y |                                     |  |   |                            |                             |  |  |   |
|   | (I)  | (II) | (III)                | (IV)                                    | (V)                                      | (VI)   | (VII) = (IV+V+VI)        | As a % of (A+B+C2) (VIII)                     | (IX)   |             |                                     | (X)  | (XI)  | (XII)                      |                             | (XIII)   | (XIV)  |   |
| 1 | Custodian/DR Holder  |      | 0                    | 0                                       | 0  | 0  | 0                        | 0.00  | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                           | 0.00   | NA   | 0   |
| 2 | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) |      | 0                    | 0                                       | 0  | 0  | 0                        | 0.00  | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                           | 0.00   | NA   | 0   |
|   | <b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>                |      | 0                    | 0                                       | 0  | 0  | 0                        | 0.00  | 0  | 0           | 0                                   | 0.00   | 0   | 0.00                       | 0                           | 0.00   | NA   | 0   |

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available



| Sr. No. | Details of the SBO |     |  |             |   | Details of the Registered Owner                     |     |  |             |   | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: |               |  |                     |                                   | Date of creation / acquisition of significant beneficial interest |
|---------|--------------------|-----|--|-------------|---|---|-----|--|-------------|---|---|---------------|--|---------------------|-----------------------------------|---|
|         | Name               | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name  | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of:   |               |  |                     |                                   |   |
|         |                    |     |  |             |   |   |     |  |             |   | Shares (%)  | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence |   |
| 1.      | Arvind Kapur       |     |  | Indian      |   | ASN Manufacturing and Services Private Limited      |     |  | Indian      |   | 25.84   | 0             | 0  | Yes                 | Yes                               | 28/03/2018  |
| 2.      | Arun Kapur         |     |  | Indian      |   | Meraki Manufacturing and Finvest Advisors Pvt. Ltd. |     |  | Indian      |   | 15.20   | 0             | 0  | Yes                 | Yes                               | 28/03/2018  |

| <b>Table VI - Statement showing foreign ownership limits</b>   |                            |                            |
|--|----------------------------|----------------------------|
| <b>Particular</b>  | <b>Approved limits (%)</b> | <b>Limits utilized (%)</b> |
| As on shareholding date  | 100.00                     | 2.09                       |
| As on the end of previous 1st quarter  | 100.00                     | 2.68                       |
| As on the end of previous 2nd quarter  | 100.00                     | 1.82                       |
| As on the end of previous 3rd quarter  | 100.00                     | 1.85                       |
| As on the end of previous 4th quarter  | 100.00                     | 2.67                       |
| <p><b>Notes :-</b></p> <p>1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities</p> <p>2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.</p> |                            |                            |