

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Rico Auto Industries Limited		
2	Scrip Code/Name of Scrip/Class of Security: BSE/NSE/520008/RICOAUTO / EQUITY		
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c): 31(1)(b)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending: 31/12/2020	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities ?		No
3	Whether the Listed Entity has issued any Warrants ?		No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No
5	Whether the Listed Entity has any shares in locked-in?		No
6	Whether any shares held by promoters are pledge or otherwise encumbered?		No
7	Whether company has equity shares with differential voting rights?		No
8	Whether the listed entity has any significant beneficial owner?		Yes
	<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>		
5	The tabular format for disclosure of holding of specified securities is as follows:		

RICO AUTO INDUSTRIES LIMITED
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	13	68043196	0	0	68043196	50.30	68043196	0	68043196	50.30	0	50.30	0	0.00	0	0.00	68043196
(B)	Public	68187	67241804	0	0	67241804	49.70	67241804	0	67241804	49.70	0	49.70	0	0.00	0	0.00	66881323
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares Underlying DRs	0	0	0	0	0	N.A	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	68200	135285000	0	0	135285000	100.00	135285000	0	135285000	100.00	0	100.00	0	0.00	0	0.00	134924519

RICO AUTO INDUSTRIES LIMITED
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
									Class eg: X	Class eg: y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)			
1	Indian																		
(a)	Individuals / Hindu Undivided Family		9	27754406	0	0	27754406	20.52	27754406	0	27754406	20.52	0	20.52	0	0.00	0	0.00	27754406
	ARVIND KAPUR			12674960	0	0	12674960	9.37	12674960	0	12674960	9.37	0	9.37	0	0.00	0	0.00	12674960
	ARUN KAPUR			8756599	0	0	8756599	6.47	8756599	0	8756599	6.47	0	6.47	0	0.00	0	0.00	8756599
	SHALINI KAPUR			1423683	0	0	1423683	1.05	1423683	0	1423683	1.05	0	1.05	0	0.00	0	0.00	1423683
	UPASNA KAPUR			3453384	0	0	3453384	2.55	3453384	0	3453384	2.55	0	2.55	0	0.00	0	0.00	3453384
	RITU KAPUR			733140	0	0	733140	0.54	733140	0	733140	0.54	0	0.54	0	0.00	0	0.00	733140
	NYLA KAPUR			364040	0	0	364040	0.27	364040	0	364040	0.27	0	0.27	0	0.00	0	0.00	364040
	SAMARTH KAPUR			194800	0	0	194800	0.14	194800	0	194800	0.14	0	0.14	0	0.00	0	0.00	194800
	SHIVANI KAPUR			149800	0	0	149800	0.11	149800	0	149800	0.11	0	0.11	0	0.00	0	0.00	149800
	PROMILA SIKKA			4000	0	0	4000	0.00	4000	0	4000	0.00	0	0.00	0	0.00	0	0.00	4000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Bodies Corporate		3	40209790	0	0	40209790	29.72	40209790	0	40209790	29.72	0	29.72	0	0.00	0	0.00	40209790
	ASN MANUFACTURING AND SERVICES PRIVATE LIMITED			20838321	0	0	20838321	15.40	20838321	0	20838321	15.40	0	15.40	0	0.00	0	0.00	20838321
	MERAKI MANUFACTURING AND FINVEST ADVISORS PVT. LTD.			11790841	0	0	11790841	8.72	11790841	0	11790841	8.72	0	8.72	0	0.00	0	0.00	11790841
	HIGAIN INVESTMENT PVT LTD			7580628	0	0	7580628	5.60	7580628	0	7580628	5.60	0	5.60	0	0.00	0	0.00	7580628
	Sub Total (A)(1)		12	67964196	0	0	67964196	50.24	67964196	0	67964196	50.24	0	50.24	0	0.00	0	0.00	67964196
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	79000	0	0	79000	0.06	79000	0	79000	0.06	0	0.06	0	0.00	0	0.00	79000
	ROMILLA BAHL			79000	0	0	79000	0.06	79000	0	79000	0.06	0	0.06	0	0.00	0	0.00	79000
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(2)		1	79000	0	0	79000	0.06	79000	0	79000	0.06	0	0.06	0	0.00	0	0.00	79000
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	68043196	0	0	68043196	50.30	68043196	0	68043196	50.30	0	50.30	0	0.00	0	0.00	68043196

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(Kindly start filing data from Row No - 3)

No. of Shareholders	No. of Shares held
0	0

RICO AUTO INDUSTRIES LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders	PAN	No. of share holders	No. of fully paid-up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
1	Institutions																		
(a)	Mutual Fund		2	54362	0	0	54362	0.04	54362	0	54362	0.04	0	0.04	0	0.00	NA	54362	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(c)	Alternate Investment Funds		1	1722847	0	0	1722847	1.27	1722847	0	1722847	1.27	0	1.27	0	0.00	NA	1722847	
(d)	ASHMORE INDIA OPPRTUNITIES FUND			1722847	0	0	1722847	1.27	1722847	0	1722847	1.27	0	1.27	0	0.00	NA	1722847	
(e)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(f)	Foreign Portfolio Investors		1	100000	0	0	100000	0.07	100000	0	100000	0.07	0	0.07	0	0.00	NA	100000	
(g)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(h)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(i)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(j)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
	Sub Total (B)(1)		4	1877209	0	0	1877209	1.39	1877209	0	1877209	1.39	0	1.39	0	0.00	NA	1877209	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
3	Non-Institutions																		
(a)	Individuals:		66956	58264109	0	0	58264109	43.07	58264109	0	58264109	43.07	0	43.07	0	0	NA	57903628	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		66935	46886419	0	0	46886419	34.66	46886419	0	46886419	34.66	0	34.66	0	0.00	NA	46525938	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		21	11377690	0	0	11377690	8.41	11377690	0	11377690	8.41	0	8.41	0	0.00	NA	11377690	
	MUKUL AGARWAL			2750000			2750000	2.03	2750000		2750000	2.03	0	2.03	0	0.00	2750000	2.03	2750000
(b)	NBFCs registered with RBI		1	2000	0	0	2000	0.00	2000	0	2000	0.00	0	0.00	0	0.00	NA	2000	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Any Other (Specify)		1226	7098486	0	0	7098486	5.25	7098486	0	7098486	5.25	0	5.25	0	0.00	NA	7098486	
(i)	Bodies Corporate		460	4052094	0	0	4052094	3.00	4052094	0	4052094	3.00	0	3.00	0	0.00	NA	4052094	
(ii)	Non Resident Individuals		760	1704971	0	0	1704971	1.26	1704971	0	1704971	1.26	0	1.26	0	0.00	NA	1704971	
(iii)	Trusts		5	27194	0	0	27194	0.02	27194	0	27194	0.02	0	0.02	0	0.00	NA	27194	
(iv)	Cooperative Society		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(v)	IEPF Authority		1	1314227	0	0	1314227	0.97	1314227	0	1314227	0.97	0	0.97	0	0.00	NA	1314227	
	Sub Total (B)(3)		68183	65364595	0	0	65364595	48.32	65364595	0	65364595	48.32	0	48.32	0	0.00	NA	65004114	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		68187	67241804	0	0	67241804	49.70	67241804	0	67241804	49.70	0	49.70	0	0.00	NA	66881323	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting (Kindly start filing data from Row No - 3)	
No. of Shareholders	No. of Shares
0	0

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	No. of share holders	No. of fully paid-up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total Shares held (Not applicable)	
									Class eg: X	Class eg: Y								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Sr. No.	Details of the SBO					Details of the Registered Owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares (%)	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1.	Arvind Kapur			Indian		ASN Manufacturing and Services Private Limited			Indian		24.77	0	0	Yes	Yes	28/03/2018
2.	Arun Kapur			Indian		Meraki Manufacturing and Finvest Advisors Pvt. Ltd.			Indian		15.19	0	0	Yes	Yes	28/03/2018