

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015				
1	Name of Listed Entity: Rico Auto Industries Limited			
2	Scrip Code/Name of Scrip/Class of Security: BSE/NSE/520008/RICOAUTO / EQUITY			
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c): 31(1)(b)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending: 31/03/2019		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment		
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?		No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
	* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.			
5	The tabular format for disclosure of holding of specified securities is as follows:			



RICO AUTO INDUSTRIES LIMITED
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	14	67907478	0	0	67907478	50.20	67907478	0	67907478	50.70	0	50.20	0	0.00	0	0.00	67907478
(B)	Public	66578	67377522	0	0	67377522	49.80	66019435	0	66019435	49.30	0	49.80	0	0.00	0	0.00	66973361
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
(C1)	Shares Underlying DRs	0	0	0	0	0	N.A	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	66592	135285000	0	0	135285000	100.00	133926913	0	133926913	100.00	0	100.00	0	0.00	0	0.00	134880839



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(Kindly start filing data from Row No - 3)

No. of Shareholders	No. of Shares held
0	0



RICO AUTO INDUSTRIES LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders	PAN	No. of share holders	No. of fully paid-up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights					Total as a % of Total Voting Rights	No. (Not applicable) (a)	As a % of total Shares held (Not applicable) (b)		
									Class eg: X	Class eg: y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
1	Institutions																	NA
(a)	Mutual Fund		5	11674647	0	0	11674647	8.63	11674647	0	11674647	8.72	0	8.63	0	0.00	NA	11674647
	L&T MUTUAL FUND TRUSTEE LTD - L&T EMERGING BUSINESS FUND	AAATC4460E		3559410	0	0	3559410	2.63	3559410	0	3559410	2.66	0	2.63	0	0.00	NA	3559410
	RELIANCE CAPITAL TRUSTEE CO LTD A/C RELIANCE REGULAR SAVINGS FUND- BALANCED OPTION	AAATR0090B		4941330	0	0	4941330	3.65	4941330	0	4941330	3.69	0	3.65	0	0.00	NA	4941330
	PRINCIPAL TRUSTEE COMPANY PVT LTD A/C PRINCIPAL MUTUAL FUND- PRINCIPAL MUTUAL TAX SAVER FUND	AAATP6607N		1570470	0	0	1570470	1.16	1570470	0	1570470	1.17	0	1.16	0	0.00	NA	1570470
	ASHMORE INDIA OPPRTUNITIES FUND	AAFTA7838K		1491081	0	0	1491081	1.10	1491081	0	1491081	1.11	0	0.00	0	0.00	NA	1491081
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Foreign Portfolio Investors		16	1228710	0	0	1228710	0.91	1228710	0	1228710	0.92	0	0.91	0	0.00	NA	1228710
(f)	Financial Institutions / Banks		2	109721	0	0	109721	0.08	109721	0	109721	0.08	0	0.08	0	0.00	NA	109721
(g)	Insurance Companies		1	326730	0	0	326730	0.24	326730	0	326730	0.24	0	0.24	0	0.00	NA	326730
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
	Sub Total (B)(1)		24	13339808	0	0	13339808	9.86	13339808	0	13339808	9.96	0	9.86	0	0.00	NA	13339808
2	Central Government/ State Government(s)/ President of India		1	651187	0	0	651187	0.48	0	0	0	0.00	0	0.00	0	0.00	NA	651187
	Sub Total (B)(2)		1	651187	0	0	651187	0.48	0	0	0	0.00	0	0.00	0	0.00	NA	651187
3	Non-Institutions											0.00					NA	
(a)	Individuals:		65131	47126797	0	0	47126797	34.84	47126797	0	47126797	35.19	0	34.84	0	0.00	NA	46722636
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		65118	40306722	0	0	40306722	29.79	40306722	0	40306722	30.10	0	29.79	0	0.00	NA	39907848
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	6820075	0	0	6820075	5.04	6820075	0	6820075	5.09	0	5.04	0	0.00	NA	6814788
	MUKUL AGARWAL	AAFPA4859G		2000000	0	0	2000000	1.48	2000000	0	2000000	1.49	0	1.48	0	0.00	NA	2000000
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Any Other (Specify)		1422	6259730	0	0	6259730	4.63	5552830	0	5552830	4.15	0	4.63	0	0.00	NA	6259730
	Bodies Corporate		528	4097646	0	0	4097646	3.03	3390746	0	3390746	2.53	0	3.03	0	0.00	NA	4097646
	Non Resident Individuals		890	2145064	0	0	2145064	1.59	2145064	0	2145064	1.60	0	1.59	0	0.00	NA	2145064
	Trusts		4	17020	0	0	17020	0.01	17020	0	17020	0.01	0	0.01	0	0.00	NA	17020
	Cooperative Society		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
	Sub Total (B)(3)		66553	53386527	0	0	53386527	39.46	52679627	0	52679627	39.33	0	39.46	0	0.00	NA	52982366
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		66578	67377522	0	0	67377522	49.80	66019435	0	66019435	49.30	0	49.80	0	0.00	NA	66973361

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Details of the shareholders acting as persons in Concert including their Shareholding:
(Kindly start filing data from Row No - 3)

Name of shareholder	Name of PAC	No. of shares	Holding %



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

(Kindly start filing data from Row No - 3)

No. of Shareholders	No. of Shares
2427	706900



RICO AUTO INDUSTRIES LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	No. of share holders	No. of fully paid-up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total Shares held (Not applicable)	
									Class eg: X	Class eg: y								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

