

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: <b>Rico Auto Industries Limited</b>		
2	Scrip Code/Name of Scrip/Class of Security: <b>BSE/NSE/520008/RICOAUTO / EQUITY</b>		
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c): <b>31(1)(b)</b>		
	a.	If under 31(1)(b) then indicate the report for Quarter ending: <b>30/09/2018</b>	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
		<b>Particulars</b>	<b>Yes*</b>
	1	Whether the Listed Entity has issued any partly paid up shares?	No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
	4	Whether the Listed Entity has any shares in locked-in?	No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
	* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.		
5	The tabular format for disclosure of holding of specified securities is as follows:		



**RICO AUTO INDUSTRIES LIMITED**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percent age of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	14	67769978	0	0	67769978	50.09	67769978	0	67769978	50.60	0	50.09	0	0.00	0	0.00	67769978
(B)	Public	65038	67515022	0	0	67515022	49.91	66174950	0	66174950	49.40	0	49.91	0	0.00	0	0.00	66937806
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares Underlying DRs	0	0	0	0	0	N.A	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total</b>	<b>65052</b>	<b>135285000</b>	<b>0</b>	<b>0</b>	<b>135285000</b>	<b>100.00</b>	<b>133944928</b>	<b>0</b>	<b>133944928</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>134707784</b>



**RICO AUTO INDUSTRIES LIMITED**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)	(XIII)		(XIV)			
<b>1</b>	<b>Indian</b>																		
(a)	Individuals / Hindu Undivided Family		10	27491188	0	0	27491188	20.32	27491188	0	27491188	20.52	0	20.32	0	0.00	0	0.00	27491188
	ARVIND KAPUR	AERPK9677E		12652460	0	0	12652460	9.35	12652460	0	12652460	9.45	0.00	9.35	0	0.00	0	0.00	12652460
	ARUN KAPUR	ACBPK0798C		8651160	0	0	8651160	6.39	8651160	0	8651160	6.46	0.00	6.39	0	0.00	0	0.00	8651160
	RAKESH KAPUR	AERPK9676F		2398720	0	0	2398720	1.77	2398720	0	2398720	1.79	0.00	1.77	0	0.00	0	0.00	2398720
	SHALINI KAPUR	AIXPK5439J		1396148	0	0	1396148	1.03	1396148	0	1396148	1.04	0.00	1.03	0	0.00	0	0.00	1396148
	UPASNA KAPUR	AARPK2357D		966920	0	0	966920	0.71	966920	0	966920	0.72	0.00	0.71	0	0.00	0	0.00	966920
	RITU KAPUR	BVIPK1341Q		723140	0	0	723140	0.53	723140	0	723140	0.54	0.00	0.53	0	0.00	0	0.00	723140
	NYLA KAPUR	AGHPK6035G		354040	0	0	354040	0.26	354040	0	354040	0.26	0.00	0.26	0	0.00	0	0.00	354040
	SAMARTH KAPUR	BJSPS0338D		194800	0	0	194800	0.14	194800	0	194800	0.15	0.00	0.14	0	0.00	0	0.00	194800
	SHIVANI KAPUR	AKMPK1377Q		149800	0	0	149800	0.11	149800	0	149800	0.11	0.00	0.11	0	0.00	0	0.00	149800
	PROMILA SIKKA	AIKPS0044E		4000	0	0	4000	0.00	4000	0	4000	0.00	0.00	0.00	0	0.00	0	0.00	4000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
	<b>Bodies Corporate</b>		3	40199790	0	0	40199790	29.71	40199790	0	40199790	30.01	0.00	29.71	0	0.00	0	0.00	40199790
	KAPSONS MANUFACTURING AND SERVICES PRIVATE LIMITED	AAACK7243A		20838321	0	0	20838321	15.40	20838321	0	20838321	15.56	0.00	15.40	0	0.00	0	0.00	20838321
	MERAKI MANUFACTURING AND FINVEST ADVISORS PVT. LTD.	AAKCM8780C		11790841	0	0	11790841	8.72	11790841	0	11790841	8.80	0.00	8.72	0	0.00	0	0.00	11790841
	HIGAIN INVESTMENT PVT LTD	AAACH0276J		7570628	0	0	7570628	5.60	7570628	0	7570628	5.65	0.00	5.60	0	0.00	0	0.00	7570628
	<b>Sub Total (A)(1)</b>		13	67690978	0	0	67690978	50.04	67690978	0	67690978	50.54	0.00	50.04	0	0.00	0	0.00	67690978
<b>2</b>	<b>Foreign</b>											0.00							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	79000	0	0	79000	0.06	79000	0	79000	0.06	0.00	0.06	0	0.00	0	0.00	79000
	ROMILLA BAHL	ANEPB9143N		79000	0	0	79000	0.06	79000	0	79000	0.06	0.00	0.06	0	0.00	0	0.00	79000
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
	<b>Sub Total (A)(2)</b>		1	79000	0	0	79000	0.06	79000	0	79000	0.06	0.00	0.06	0	0.00	0	0.00	79000
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>		14	67769978	0	0	67769978	50.09	67769978	0	67769978	50.60	0	50.09	0	0.00	0	0.00	67769978

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**(Kindly start filing data from Row No - 3)**

No. of Shareholders	No. of Shares held
0	0



**RICO AUTO INDUSTRIES LIMITED**

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders	PAN	No. of share holders	No. of fully paid-up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Share holding, as a % assuming full conversion of convertible securities (as a percent age of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total Shares held (Not applicable) (b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	(IX) = (A+B+C2)			(X)	(XI)	(XII)	(XIII)	(XIV)					
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Fund		5	11480303	0	0	11480303	8.49	11480303	0	11480303	8.57	0	8.49	0	0.00	NA		11480303
	L&T MUTUAL FUND TRUSTEE LTD - L&T EMERGING BUSINESS FUND	AAATC4460E		3198073	0	0	3198073	2.36	3198073	0	3198073	2.39	0	2.36	0	0.00	NA		3198073
	RELIANCE CAPITAL TRUSTEE CO LTD A/C RELIANCE REGULAR SAVINGS FUND- BALANCED OPTION	AAATR0090B		4953230	0	0	4953230	3.66	4953230	0	4953230	3.70	0	3.66	0	0.00	NA		4953230
	PRINCIPAL TRUSTEE COMPANY PVT LTD A/C PRINCIPAL MUTUAL FUND- PRINCIPAL MUTUAL TAX SAVER FUND	AAATP6607N		1766790	0	0	1766790	1.31	1766790	0	1766790	1.32	0	1.31	0	0.00	NA		1766790
	ASHMORE INDIA OPPRTUNITIES FUND	AAFTA7838K		1421081	0	0	1421081	1.05	1421081	0	1421081	1.06	0	1.05	0	0.00	NA		1421081
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(e)	Foreign Portfolio Investors		18	1234626	0	0	1234626	0.91	1234626	0	1234626	0.92	0	0.91	0	0.00	NA		1234626
(f)	Financial Institutions / Banks		2	88851	0	0	88851	0.07	88851	0	88851	0.07	0	0.07	0	0.00	NA		88851
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(i)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
	Sub Total (B)(1)		25	12803780	0	0	12803780	9.46	12803780	0	12803780	9.56	0	9.46	0	0.00	NA		12803780
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>		1	38492	0	0	38492	0.03	0	0	0	0.00	0	0.00	0	0.00	NA		38492
	Sub Total (B)(2)		1	38492	0	0	38492	0.03	0	0	0	0.00	0	0.00	0	0.00	NA		38492
<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals:		63568	46897252	0	0	46897252	34.67	46897252	0	46897252	35.01	0	34.67	0	0.00	NA		46320536
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		63556	40333277	0	0	40333277	29.81	40333277	0	40333277	30.11	0	29.81	0	0.00	NA		39756561
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		12	6563975	0	0	6563975	4.85	6563975	0	6563975	4.90	0	4.85	0	0.00	NA		6563975
	MUKUL AGARWAL	AAFP44859G		2000000			2000000	1.48	2000000		2000000	1.49	0	1.48	0	0.00	NA		2000000
(b)	NBFCs registered with RBI		1	4	0	0	4	0.00	4	0	4	0.00	0	0.00	0	0.00	NA		4
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(e)	Any Other (Specify)		1443	7775494	0	0	7775494	5.75	6473914	0	6473914	4.83	0	5.75	0	0.00	NA		7774994
	Bodies Corporate		567	5500845	0	0	5500845	4.07	4199265	0	4199265	3.14	0	4.07	0	0.00	NA		5500345
	Non Resident Individuals		871	2253129	0	0	2253129	1.67	2253129	0	2253129	1.68	0	1.67	0	0.00	NA		2253129
	Trusts		5	21520	0	0	21520	0.02	21520	0	21520	0.02	0	0.02	0	0.00	NA		21520
	Cooperative Society		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
	Sub Total (B)(3)		65012	54672750	0	0	54672750	40.41	53371170	0	53371170	39.85	0	40.41	0	0.00	NA		54095534
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		65038	67515022	0	0	67515022	49.91	66174950	0	66174950	49.40	0	49.91	0	0.00	NA		66937806

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Details of the shareholders acting as persons in Concert including their Shareholding:  
**(Kindly start filing data from Row No - 3)**

Name of shareholder	Name of PAC	No. of shares	Holding %



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

**(Kindly start filing data from Row No - 3)**

<b>No. of Shareholders</b>	<b>No. of Shares</b>
6429	1301580



# RICO AUTO INDUSTRIES LIMITED

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the shareholders	PAN	No. of share holders	No. of fully paid-up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percent age of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of Total Voting Rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total Shares held (Not applicable)	
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)				(X)	(XI)	(XII)		(XIII)	(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

