

(l)(a) Statement showing Shareholding Pattern as per Clause 35(i)(c)

Name of the Company : RICO AUTO INDUSTRIES LIMITED Scrip Code, Name of the Scrip, Class of Security : BSE-520008/NSE-RICOAUTO/Equity			As on 30/09/2011
Partly Paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by Promoter/Promoter Group	NA	NA	NA
Held by Public	NA	NA	NA
Total	NA	NA	NA

Outstanding Convertible Securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the Company, assuming full conversion of the convertible securities
Held by Promoter/Promoter Group	NA	NA	NA
Held by Public	NA	NA	NA
Total	NA	NA	NA

Warrants	No. of Warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by Promoter/Promoter Group	NA	NA	NA
Held by Public	NA	NA	NA
Total	NA	NA	NA

Total paid-up capital of the Company assuming full conversion of warrants and convertible securities	135285000
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Category Code	Category of Shareholders	Number of Shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a percentage
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/Hindu Undivided Family	16	27501188	26539148	20.328	20.328	20000000	72.724
(b)	Central Government/State Government(s)	--	--	--	--	--	--	--
(c)	Bodies Corporate	4	40199790	38942570	29.715	29.715	12000000	29.851
(d)	Financial Institutions/Banks	--	--	--	--	--	--	--
(e)	Any Other (Specify)	--	--	--	--	--	--	--
	Sub-Total (A)(1)	20	67700978	65481718	50.043	50.043	32000000	47.267
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	1	79000	79000	0.058	0.058	--	--
(b)	Bodies Corporate	--	--	--	--	--	--	--
(c)	Institutions	--	--	--	--	--	--	--
(d)	Any Other (Specify)	--	--	--	--	--	--	--
	Sub-Total (A)(2)	1	79000	79000	0.058	0.058	0.000	0.000
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	21	67779978	65560718	50.102	50.102	32000000	47.212
(B)	Public Shareholding						NA	NA
(1)	Institutions						NA	NA
(a)	Mutual Funds/UTI	--	--	--	--	--	--	--
(b)	Financial Institutions/Banks	5	13250	8900	0.010	0.010	--	--
(c)	Central Government/State Government(s)	--	--	--	--	--	--	--
(d)	Venture Capital Funds	--	--	--	--	--	--	--
(e)	Insurance Companies	--	--	--	--	--	--	--
(f)	Foreign Institutional Investors	1	51723	51723	0.038	0.038	--	--
(g)	Foreign Venture Capital Investors	--	--	--	--	--	--	--
(h)	Any Other (Specify)	--	--	--	--	--	--	--
	Sub-Total (B)(1)	6	64973	60623	0.048	0.048	0.000	0.000
(2)	Non-Institutions						NA	NA
(a)	Bodies Corporate	948	9253053	9195953	6.840	6.840	--	--
(b)	Individuals -							
	i) Individual Shareholders holding nominal share capital upto Rs.1 lakh	53001	40275673	37751369	29.771	29.771	--	--
	ii) Individual Shareholders holding nominal share capital in excess of Rs.1 lakh.	29	15298047	15298047	11.308	11.308	--	--

(c)	Any Other (Specify)								
(i)	NRI	445	2604776	2604776	1.925	1.925	--	--	
(ii)	Foreign Companies	--	--	--	--	--	--	--	
(iii)	OCBs	0	0	0	0.000	0.000	--	--	
(iv)	Trusts & Foundations	3	8500	8500	0.006	0.006	--	--	
	Sub-Total (B)(2)	54426	67440049	64858645	49.850	49.850	--	--	
	Total Public Shareholding (B)=(B)(1)+(B)(2)	54432	67505022	64919268	49.898	49.898	--	--	
	Total (A)+(B)	54453	135285000	130479986	100.000	100.000	--	--	
(C)	Shares held by Custodians and against which Depository Receipts have been issued	--	--	--	--	--	--	--	
(1)	Promoter and Promoter Group	--	--	--	--	--	--	--	
(2)	Public	--	--	--	--	--	--	--	
	Grand Total (A)+(B)+(C)	54453	135285000	130479986	100.000	100.000	NA	NA	

(l)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"						
Sr.No.	Name of the Shareholder	Total shares held		Shares pledged or otherwise encumbered		
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of shares	As a percentage	As a % of grand total (A)+(B)+(C) of Sub-clause (l)(a)
1.	Kapsons Associates Investments Pvt. Ltd.	38917050	28.767	12000000	30.835	8.870
2.	Arvind Kapur	12652460	9.352	11750000	92.867	8.685
3.	Arun Kapur	8651160	6.395	8000000	92.473	5.913
4.	Rakesh Kapur	2159020	1.596	250000	11.579	0.185
5.	Rico Castings Ltd.	1256100	0.928	--	--	--
6.	Shalini Kapur	986180	0.729	--	--	--
7.	Upasna Kapur	965920	0.714	--	--	--
8.	Ritu Kapur	560000	0.414	--	--	--
9.	Nyla Kapur	354040	0.262	--	--	--
10.	Mohan Lal Kapur	239700	0.177	--	--	--
11.	Shikha Kapur	203368	0.150	--	--	--
12.	Shivani Kapur	189800	0.140	--	--	--
13.	Samarth Kapur	188800	0.140	--	--	--
14.	Shikha Kapur	176600	0.131	--	--	--
15.	Ritu Kapur	163140	0.121	--	--	--
16.	Romilla Bahl	79000	0.058	--	--	--
17.	Higain Investments Pvt. Ltd.	25520	0.019	--	--	--
18.	Samarth Kapur	6000	0.004	--	--	--
19.	Promilla Sikka	4000	0.003	--	--	--
20.	Higain Investments Pvt. Ltd.	1120	0.001	--	--	--
21.	Suhail Kapur	1000	0.001	--	--	--
	Total	67779978	50.102	32000000	47.212	23.654

(l)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares			
Sr.No.	Name of the Shareholder	Number of Shares	Shares as a percentage of total number of shares {i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}
1.	Nemish S. Shah	2901900	2.145
2.	Ashish Dhawan	7273092	5.376
	Total	10174992	7.521

(l)(d) Statement showing details of locked-in shares				
Sr.No.	Name of the Shareholder	Category	Number of Shares Locked-in	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (l)(a) above)
1.	Kapsons Associates Investments Pvt. Ltd.	Promoter	9700000	7.170

(II)(a) Statement showing details of Depository Receipts (DRs)				
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of Outstanding DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
-----N.A.-----				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'Promoter/ Promoter Group' are in excess of 1% of the total number of shares				
Sr.No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
-----N.A.-----				

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the Issuer							
Sr. No.	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights (VI)	
		Class X	Class Y	Class Z		As a % of (A+B)	As a % of (A+B+C)
-----N.A.-----							